## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Applicant:

Steven Markin

Serial No.:

To be determined

Filed:

To be determined

For:

SECURITY AND COMPLIANCE TESTING SYSTEM AND METHOD FOR

**COMPUTER SYSTEMS** 

Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

Honorable Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.56

Pursuant to Rule 56, Applicants hereby call to the attention of the Patent Office the references listed on the attached PTO-1449 Form. One copy of each reference is enclosed.

Applicants present these references so that the Patent Office may, in the first instance, determine any relevancy thereof to presently claimed invention.

Applicants respectfully request that these references be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Charge any pertinent fees to Deposit Account #06-0515.

Respectfully submitted,

Stephen F. Feldman Registration No. 22,473

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| Substitute for form 1449A/PTO     | Complete if Known      |                  |  |
|-----------------------------------|------------------------|------------------|--|
|                                   | Application Number     | To Be Determined |  |
| INFORMATION DISCLOSURE            | Filing Date            | To Be Determined |  |
| STATEMENT BY APPLICANT            | First Named Inventor   | Steven Markin    |  |
|                                   | Art Unit               |                  |  |
| (Use as many sheets as necessary) | Examiner Name          |                  |  |
| heet of                           | Attorney Docket Number |                  |  |

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| Examiner Cite No.1                      |       | Document Number   | Publication Date<br>MM-DD-YYYY |   | Name of Patentee or<br>Applicant of Cited Document |               | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |    |
|   |       | Number-Kind Code <sup>2 (if known)</sup> US-  |                                |   |  |               | 1 igures Appear   |    |
|   |       | US-<br>US-<br>US-<br>US-<br>US-<br>US-<br>US-<br>US-<br>US-<br>US-  | 06/24/20                       | 03 Res  | hef, et  | . al          |   |    |
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|   |       |   | FOREIGN PA                     | TENT DOCU   | IMENTS   |               |   |    |
| Examiner                                | Cite  | Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>5</sup> (if known) |                                | Publication Date Name of Patentee or Applicant of Cited Docum |  |               |   |    |
| Initials*                               | No.1  |   |                                |   |  | ited Document |   |    |
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| FOREIGN PATENT DOCUMENTS |              |  |                                |  |   |                |
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| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>5</sup> ( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
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| Examiner  | Date       |  |
| Signature | Considered |  |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.